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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. TELNP21US

First Inventor or Application Identifier Chen Feng

Title MICRO READER SCAN ENGINE WITH PRISM

Express Mail Label No. EK243449185US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Specification [Total Pages 18]  
(preferred arrangement set forth below)
 

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 6]

4. Oath or Declaration [Total Pages 2]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. § 3.73(b) Statement  Power of (when there is an assignee)  Attorney

9.  English Translation Document (if applicable)

10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

11.  Preliminary Amendment

12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

\* Small Entity Statement(s)  Statement filed in prior application, (PTO/SB/09-12)  Status still proper and desired

13.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

14.  Other: Express Mail Certificate  
37 CFR 1.10

16. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

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Name	Amin, Eschweiler & Turocy, LLP Himanshu S. Amin			
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City	Cleveland	State	OH	Zip Code
Country		Telephone	216-696-8730	Fax
Name (Print/Type)		Registration No. (Attorney/Agent)		40,894
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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective November 10, 1998.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 820.00)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Chen Feng
Examiner Name	
Group / Art Unit	
Attorney Docket No.	TELNP215US

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:Deposit Account Number  
Deposit Account Name

50-1063

Amin, Eschweiler &amp; Turocy

 Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
  Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance
2.  Payment Enclosed:
 Check  Money Order  Other
 

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	690
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$)		690.00	

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
25	-20** = 5	18	90
Independent Claims 3	- 3** = 0	0	0
Multiple Dependent		0	0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		90.00

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

Typed or Printed Name	Himanshu S. Amin	Complete (if applicable)
Signature		Reg. Number 40,894

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Atty. Docket No. TELNP215US

**MICRO READER SCAN ENGINE WITH PRISM**

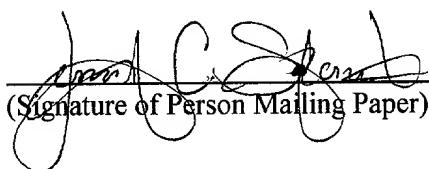
by

**Chen Feng**

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date September 22, 2000, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EK243449185US addressed to the: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer C. Safranek

(Typed or Printed Name of Person Mailing Paper)



\_\_\_\_\_  
(Signature of Person Mailing Paper)

**Title: MICRO READER SCAN ENGINE WITH PRISM****TECHNICAL FIELD**

5 The present invention relates generally to data collection devices adapted for reading bar codes and other dataforms, and more particularly to a micro reader scan engine with a prism.

**BACKGROUND OF THE INVENTION**

10 Portable data collection devices are widely used in the manufacturing, service and package delivery industries to perform a variety of on-site data collection activities. Such portable data collection devices often include integrated bar code dataform readers adapted to read bar code dataforms affixed to products, product packaging and/or containers in warehouses, retail stores, shipping terminals, etc. for inventory control, tracking, production control and expediting, quality assurance and other purposes.

15 Bar code dataforms come in a variety of different formats including one and two dimensional bar codes, matrix codes and graphic codes, as well as words and numbers and other symbols, which may be printed or etched on paper, plastic cards and metallic and other items. For example, a one dimensional bar code dataform typically consists of a series of parallel light and dark rectangular areas of varying widths. The light areas are often referred to as "spaces" and the dark areas as "bars". Different widths of bars and spaces define different characters in a particular bar code dataform.

20 25 Data originally encoded in a dataform is recovered for further use in a variety of ways. For example, a printed bar code may be illuminated to derive reflectance values which are digitized, stored in buffer memory and subsequently decoded to recover the data encoded in the bar code. The printed bar code may be illuminated using a laser, an array of LEDs, ambient light, or the like. The light reflected from the printed bar code typically is captured using a photosensor such as, for example, a CCD detector, CMOS detector, etc, which may take the form of a sensor array integrated circuit including a plurality of such devices.

As data collection devices are used in more specialized applications, it is desirable to scan bar codes and other dataforms from different angles. Conventional scan engines include an image sensor component with an aperture adapted to receive incoming light from a scanned dataform. The light typically passes through an opening in the housing of the scan engine, and the housing may further include a protective cover for the opening. The trend in such devices is toward smaller and smaller packages, as a result of which it is desirable to reduce the size of the scan engine housing opening through which incoming light from a dataform enters. However, the image sensor components used in the data collection device scan engine may be too large to directly receive incoming light from a scanned dataform through a reduced size housing opening. Although the size of the aperture on such an image sensor may be reduced, the footprint of the integrated circuit on which the sensor aperture resides remains relatively large. Custom image sensor integrated circuits may be developed, however, it is desirable to use existing image sensor components to keep the data collection device scan engine cost low. Thus, there remains a need for a data collection device scan engine which may successfully scan dataforms from an angle using existing image sensor components through a reduced size housing opening, and which may scan bar code dataforms at an angle.

### Summary of the Invention

The present invention includes a scan engine for use in a data collection device, which minimizes or overcomes the above mentioned problems and shortcomings encountered in conventional scan engines. The invention further provides a method for producing a scan engine and a scan engine image sensor assembly which further address these shortcomings.

In accordance with an aspect of the present invention, there is provided a scan engine which includes a housing or enclosure with an opening for receiving light from a scanned dataform, an image sensor with an aperture, the image sensor being located within the housing and operative to sense light entering the aperture, and a prism located within the housing and adapted to receive light from the opening along a first path and to

provide at least a portion of the received light to the aperture along a second path. In order to utilize existing image sensor integrated circuits, the prism allows the image sensor aperture to be mounted in the housing at an angle to the housing opening, which may be 90 degrees, whereby the second path is perpendicular to the first path. The 5 invention thus allows the use of existing image sensor integrated circuits which may have a component width which is wider than the desired housing opening, in order to provide a reduced size scan engine in which existing image sensor components may be employed.

The prism may include a first planar face generally perpendicular to the first path and a second planar face generally perpendicular to the second path. In addition, the 10 second face may be mounted on the aperture. The first face of the prism may be mounted proximate the opening located in a first wall of the housing. In this fashion, the prism may further operate as a protective cover for the housing opening, for example, wherein the first face of the prism is further adapted to cover the opening. In addition, the front surface of the prism may have a spherical convex shape, so as to serve as an imaging lens, and to thereby further reduce the scan engine cost and size. Moreover, the prism may be adapted to provide a seal around the opening of the first housing wall. Thus, an 15 additional window or other protective cover for the housing opening is unnecessary, the elimination of which advantageously reduces the light signal losses associated therewith, and reduces manufacturing and assembly costs.

According to yet another aspect of the invention, there is provided a method for 20 producing a data collection device scan engine. The method includes providing a housing with an opening for receiving light from a scanned dataform, mounting an image sensor within the housing, the image sensor having an aperture and being operative to sense light entering the aperture, and mounting a prism within the housing for receiving light from 25 the opening along a first path and providing at least a portion of the received light to the aperture along a second path. The prism may comprise a first planar face generally perpendicular to the first path and a second planar face generally perpendicular to the second path, wherein the method may further include mounting the second face on the aperture. This may be accomplished, for example, using a low loss transparent adhesive.

In this way, no gap exists between the second face of the prism and the image sensor aperture, thus further reducing incoming light signal loss.

In addition, where the opening is located in a first wall of the housing, the method may include locating the first face of the prism so as to cover the opening. Moreover, 5 where a seal is desirable between the interior and exterior of the scan engine housing, the method may further include providing a seal around the opening of the first enclosure wall using the first face of the prism. The method thus eliminates additional housing windows associated with conventional scan engines, and the losses associated therewith.

According to still another aspect of the invention, there is provided a data 10 collection device scan engine image sensor assembly. The assembly includes an image sensor having an aperture and being operative to sense light entering the aperture, and a prism mounted on the aperture and adapted to receive light along a first path and to provide at least a portion of the received light to the aperture along a second path. The prism may comprise a first planar face generally perpendicular to the first path and a second planar face generally perpendicular to the second path, wherein the first planar face may be further adapted to cover an opening in a scan engine housing. In addition, 15 the first face of the prism may be further adapted to provide a seal around the opening of the scan engine housing.

To the accomplishment of the foregoing and related ends, certain illustrative 20 aspects and implementations of the present invention are hereinafter described with reference to the attached drawing figures. The following description and the annexed drawings set forth in detail certain illustrative applications and aspects of the invention. These are indicative, however, of but a few of the various ways in which the principles of 25 the invention may be employed. Other aspects, advantages and novel features of the invention will become apparent from the following detailed description of the invention when considered in conjunction with the drawings.

#### Brief Description of the Drawings

Fig. 1 is a schematic diagram illustrating an exemplary data collection device in

which various aspects of the present invention may be employed;

Fig. 2 is a schematic diagram illustrating another exemplary data collection device having a scan engine component in accordance with the invention;

Fig. 3 is a side elevation view in section illustrating a conventional scan engine  
5 with a sensor array and a lens;

Fig. 4 is a side elevation view in section illustrating an exemplary scan engine with a prism in accordance with an aspect of the invention;

Fig. 5A is a side elevation view in section illustrating another exemplary scan engine with a prism in accordance with an aspect of the invention;

10 Fig. 5B is a side elevation view in section illustrating another exemplary scan engine with a prism and a removable lens in accordance with another aspect of the invention; and

Fig. 6 is a flow diagram illustrating an exemplary method of producing a scan engine in accordance with another aspect of the invention.

### Detailed Description of the Invention

The present invention will now be described with reference to the drawings, wherein like reference numerals are used to refer to like elements throughout. The following description and the attached drawings are provided in order to illustrate the various aspects of the present invention, and should not be interpreted as a limitation thereof. The invention provides a data collection device scan engine including an image sensor and a prism adapted to direct light from a bar code or other dataform onto an image sensor, which provides low signal loss and allows the image sensor to be located at an angle to the path of the light from the dataform. Although the invention finds particular utility in association with reduced size data collection devices, such as a micro reader, it will be appreciated that the invention may be employed in other applications as well.

25 Referring initially to Fig. 1, an exemplary data collection system 2 is schematically illustrated including a scan engine component 3 operatively coupled to a

scanner processing system 6 via a system bus 9. The scan engine 3 projects a light ray 7a through an aperture window 4 and a focusing system 5 on to an exemplary bar code or dataform target 8. The bar code target 8 (e.g., a sequence of vertical black and white bars) is scanned from an X+ direction (depicted as light ray 7a) to an X- direction 5 (depicted as light ray 7b). It is to be appreciated that scanning may also take place in the opposite direction.

A plurality of light rays 7c (one ray is shown for simplicity) reflect from the target 8 back through the focusing system 5 on the scan engine 3. The focusing system 5 may be a single optical lens system for directing and receiving light or may include a separate directing and receiving lens for sending and receiving light to and from the target 8. 10 Although conventional focusing systems variously include lenses and/or mirrors, the present invention advantageously provides a prism, as illustrated and described in greater detail hereinafter, which overcomes shortcomings associated with previous focusing system components.

15 The scan engine 3, receives a light ray 7c and converts the ray to an electrical signal. The electrical signal is digitized and sent over the system bus 9 to the scanner processing system 6 for analysis and storage. It is to be appreciated that signal processing and analysis may take place at the scan engine 3, or the scanner processing system 6, or such processing tasks may be shared between the two system components 3 and 6. For 20 example, the scanner processing system 6 may provide a plurality of application software systems to process the bar code dataform information. Such application software systems may include, for example, accounting controls, inventory controls, pricing information, location information, and other information and/or functions suitably relevant to the dataforms and/or the items being scanned.

25 Turning now to Fig. 2, a block diagram of an exemplary data collection device 10 is provided, including a scan engine component 3 and a host interface component 50. In the scan engine component 3, a microprocessor 100 controls the various operations and performs image analysis in decoding a target dataform. For example, the microprocessor 100 may be programmed to carry out the various control and processing functions

utilizing conventional programming techniques. A memory 116 coupled to the microprocessor 100 serves to store the various programs and other data associated with the operation of the data collection device 10 as described herein. A person having ordinary skill in the art will be able to program such operations without undue effort.

5 Hence, additional detail is omitted for sake of brevity.

The microprocessor 100 is coupled to an address generator 102, via a local bus 108, which is designed to output a sequence of pixel addresses corresponding to a desired pixel data readout pattern from an image sensor or photosensor array 48. For example, the microprocessor 100 may be configured to read out consecutive horizontal lines of pixel data from multiple zones so that such pixel data can be processed to reconstruct the entire dataform provided within the field of view of the data collection device 10.

10 The addresses are provided from the address generator 102 to the photosensor array 48 via an address bus 106. The photosensor array 48 provides, as its output data, pixel data on data bus 107 which corresponds to the address provided on the data bus 106. The address generator 102 in turn provides the pixel data to the microprocessor 100 via bus 108. Data may therefore be collected from the photosensor array 48 substantially 15 in real time according to a predefined data readout pattern. It will be appreciated that while the present embodiment depicts the address generator 102 as being physically separated from the photosensor array 48, it is possible for both components to be 20 provided on a single image sensor chip.

25 The device 10 further includes a host interface board 50 including a trigger switch 26 and associated data form read trigger circuitry 104. In order to carry out a dataform reading operation, the operator points a focusing system 5 towards a target dataform (e.g., dataform 8 of Fig. 1). Light from the scanned dataform is directed by the focusing system 5 to an aperture window 4 which presents the light to the photosensor array 48. The operator then initiates the dataform read operation via the trigger switch 26 or other methods. The dataform read trigger circuit 104 generates an interrupt signal which is provided to the microprocessor 100 indicating the initiation of a dataform reading operation. The microprocessor 100 communicates with the address generator 102 via the

control bus 205 which causes the address generator 102 to begin generating addresses for the predefined readout pixel pattern.

The image data from the photosensor array 48 consists of digital data indicative of the instantaneous illumination of the pixel. For example, in the exemplary device 2 illustrated in Fig. 1, it is assumed that the target dataform 8 is made up of a series of black bars and white spaces. The photosensor array 48 of device 10 includes an analog to digital (A/D) converter 20 for converting analog pixel data obtained from the addressed pixels to digital pixel data. The A/D converter 20 has adjustable gain which may be adjusted via a gain adjust control signal provided on line 111 from the microprocessor 100. The digitized pixel data from the photosensor array 48 is provided via the address generator 102 to the microprocessor 100. The microprocessor 100 evaluates the range of the acquired pixel data on-the-fly to see if the full range of the A/D converter 20 is utilized. If not, the microprocessor 100 adjusts the gain of the input to the A/D converter 20. The microprocessor 100 then proceeds to decode the image of the target dataform.

Additionally, the microprocessor 100 is coupled to the illumination assembly 42 via switching circuitry 126 which enables the microprocessor 100 to control the illumination assembly 42 to provide general illumination of a scanned target dataform and targeting during operation. The illumination assembly 42 of the present embodiment may employ any of various light sources having output light which is sculpted to be spread across such a dataform. Moreover, the microprocessor 100 may be coupled to an LED 32 to adjust its color state and/or to an audible annunciator or speaker 126 in order to indicate the current mode of operation.

The host interface board component 50 of the data collection device 10 may further include a communications transceiver 122 (*e.g.*, RS-232, RS-485) and an associated connector 124 for transmitting and receiving data to and from remote devices, such as computers, modems, transmitters, etc, along with the LED 32 and a speaker 126. In addition, the interface 50 may include power circuitry 130 and electrical connections 132 for providing electrical power from a power source 24 to the various components of the interface 50 as well as the scan engine component 3. The power source 24 may

include, for example, rechargeable batteries, and the like.

Referring now to Fig. 3, a conventional scan engine 200 is illustrated having an enclosure or housing 202 with a cover 204 in an opening therein, and a focusing lens 206. Incoming light L reflected from the surface of a scanned dataform (not shown) passes through first and second surfaces 204a and 204b of the cover 204, as well as first and second surfaces 206a and 206b of the lens 206, and onto an aperture window 208 of an image sensor component 210. The image sensor component 210 may be an integrated circuit mounted along with other components 212 on a printed circuit board (PCB) 214 mounted in the enclosure 202.

It will be appreciated by those skilled in the art that the strength of the light signal L is reduced through signal losses associated with the materials used in making the cover 204 and the lens 206, and further that there is a non-zero signal loss associated with each of the surfaces 204a, 204b, 206a, and 206b associated therewith. In order to reduce these losses, the present invention provides a prism which may be employed to replace both the window 204 and the lens 206, as illustrated and described in greater detail hereinafter. Thus, the invention reduces the signal losses found in conventional scan engines such as scan engine 200. In addition, it will be recognized that the image sensor device 210 has a certain physical width W determined by the standard integrated circuit package sizes known in the art. As the size of scan engine components continues to decrease, it is desirable to decrease the overall height H1 of such devices, and also to decrease the height H2 of the enclosure opening for window 204. However, the width W of the image sensor component 210 may be fixed for available standard components.

Referring now to Fig. 4, an exemplary scan engine 300 is illustrated in accordance with an aspect of the invention, including a low profile enclosure or housing 302 having a reduced height opening 304 with a window or protective cover 306 therein. The cover 306 may provide a seal between the interior and exterior of the scan engine housing 302. The scan engine 300 further includes an image sensor component 310 with an aperture 308 thereon, wherein the sensor component 310 is mounted along with other components 312 on a PCB 314. The image sensor 310 is operative to sense light entering the aperture

308 for processing as is known in association with bar code and other dataform readers. In accordance with an aspect of the invention, the scan engine 300 also includes a prism 320 located within the housing 302 and adapted to receive light L through the opening 304 along a first path 322 via an imaging lens 326 and to provide at least a portion of the received light L to the aperture 308 along a second path 324.

5 The Prism 320 advantageously provides for mounting of a standard sensor 310 within the low profile housing 302 such that the first and second paths 322 and 324, respectively, are generally perpendicular, although other angular arrangements are contemplated as within the scope of the invention. This allows use of standard sensors 10 310 which are too wide to be mounted in a plane parallel to the window 306, particularly in low profile micro readers and the like. The prism 320 comprises a first planar face 320a generally perpendicular to the first path 322 and a second planar face 320b generally perpendicular to the second path 324.

15 The second face 320b may further be mounted directly onto the image sensor aperture 308, for example, using a low loss transparent adhesive (not shown). It will be noted in this regard, that while a gap (not shown) may be provided between the second face 320b of the prism 320 and the sensor aperture 308, light signal losses associated with such a gap may be advantageously reduced according to an aspect of the invention through mounting the second face 320b of the prism 320 directly onto the aperture 308. Furthermore, it will be appreciated that mounting the prism 320 directly onto the aperture 20 308 of the image sensor component 310 further reduces the size of the image sensor assembly. Moreover, the use of a prism 320 mounted to the aperture 308 provides for repeatable angular reflection of light from path 322 to path 324. In conventional scan engines employing mirrors, the placement of such mirrors required careful manufacturing 25 and assembly steps to ensure the desired angular reflection. In addition, the light signal losses associated with mirrors is greater than that of prisms. Thus, the present invention provides for a cost effective improvement over such conventional devices and manufacturing methodologies.

In accordance with another aspect of the invention, the first face 320a of the prism

320 may be further located proximate the opening 304 in the housing 302, thereby reducing or eliminating the signal losses associated with a gap (not numerically designated) therebetween. In addition, the invention further allows the elimination of the cover 306 and the light losses associated with the front and rear surfaces 306a and 306b, respectively, thereof.

5 Referring also to Fig. 5A, another exemplary scan engine 400 is illustrated in accordance with the invention. The scan engine 400 includes a housing 402 having an opening 404 in a first wall 406 thereof, and through which light L is introduced to a first face 420a of a prism 420 along a path 422. Scan engine 400 further includes an image sensor component 410 with an aperture 408 thereon, wherein the sensor component 410 is mounted along with other components 412 on a PCB 414. As shown, the first face 420a of prism 420 is mounted within the housing 402 so as to provide a seal between the exterior and interior of the scan engine 400, whereby the need for an additional window (e.g., window 204 of Fig. 3) is eliminated.

10 15 The image sensor 410 is adapted to sense light L entering the aperture 408 along a second path 424. In accordance with an aspect of the invention, the scan engine 400 also includes the prism 420 located within the housing 402 and adapted to receive light L from the opening 404 along the first path 422 and to provide at least a portion of the received light L to the aperture 408 along a second path 424. Although there may be non-zero surface losses associated with the first and second faces 420a and 420b, respectively, of the prism 420, it will be appreciated that the invention provides for the reduction in total light signal loss through the elimination of the window (e.g., window 204 of Fig. 3), and the mirrors, lenses, and/or air gaps along the light paths of prior conventional scan engines.

20 25 The prism 420 furthermore advantageously provides for mounting of a standard sensor component 410 (e.g., having a standard integrated circuit footprint size) within the low profile housing 402 such that the first and second paths 422 and 424, respectively, are generally perpendicular, although other angular arrangements are contemplated as within the scope of the present invention. Standard sensors 410 may thus be employed which

are too wide to be mounted in a plane parallel to the window 406, particularly in low profile micro readers and the like. The prism 420 comprises a first face 420a generally perpendicular to the first path 422 and a second planar face 420b generally perpendicular to the second path 424.

5 In addition, the first face 420a may include a spherical convex shape, whereby the prism 420 may also serve as an imaging lens. Thus, the prism 420 advantageously allows cost and/or size reduction through the elimination of a separate lens (e.g., lens 326 of Fig. 4). According to another aspect of the invention, the second face 420b of the prism 420 may be further adapted to cover the opening 404 in the housing wall 406. In addition, the 10 prism face 420a may be adapted to provide a seal around the opening 404 of the enclosure wall 406, thus eliminating the need for a window or other protective cover therein.

15 The second face 420b of prism 420 may further be mounted directly onto the image sensor aperture 408, for example, using a low loss transparent adhesive (not shown). It will be noted in this regard, that while a gap (not shown) may be provided between the second face 420b of the prism 420 and the sensor aperture 408, light signal losses associated with such a gap may be advantageously reduced according to an aspect of the invention through mounting the second face 420b of the prism 420 directly onto the aperture 408.

20 In addition, mounting the prism 420 directly onto the aperture 408 of the image sensor component 410 further reduces the size of the image sensor assembly.

Furthermore, it will be appreciated that the invention comprises an image sensor assembly, including the image sensor 410 having an aperture 408, and a prism 420 mounted on the aperture 408 and adapted to receive light L along the first path 422 and to provide at least a portion of the received light L to the aperture 408 along the second path 25 424.

Referring now to Fig. 5B, the first face 426a of the prism 420 may be planar, and the scan engine 400 may further comprise a lens 426 adapted for detachable mounting on first wall 406 for imaging of the light L prior to passage thereof through the opening 404. Lens 426 may be mounted onto wall 406 using engagement members 428 adapted for

retractable engagement with one or more portions of the lens 426. In this manner, a user may selectively change the lens 426 allowing for multi-configuration usage of the scan engine 400 depending on desired focal length or other application considerations.

Referring now to Fig. 6, an exemplary method 500 of producing a scan engine (e.g., scan engines 300 and/or 400 of Figs. 4 and 5, respectively) is illustrated in accordance with another aspect of the invention. The exemplary method 500 begins at step 502, whereat a housing is provided (e.g., housing 302 of Fig. 4) with an opening for receiving light from a scanned dataform (e.g., barcode dataform 8 of Fig. 1). At step 504, an image sensor (e.g., sensor 310) is mounted within the housing. A prism (e.g., prism 320) is mounted at step 506 on an aperture (e.g., aperture 308) of the image sensor. The prism may be mounted on the aperture using, for example, a low loss transparent adhesive. At step 508, a seal may be provided around the opening in the housing using the prism.

Although the invention has been shown and described with respect to a certain aspects and implementations, it will be appreciated that equivalent alterations and modifications will occur to others skilled in the art upon the reading and understanding of this specification and the annexed drawings. In particular regard to the various functions performed by the above described components (assemblies, devices, circuits, systems, etc.), the terms (including a reference to a "means") used to describe such components are intended to correspond, unless otherwise indicated, to any component which performs the specified function of the described component (*i.e.*, that is functionally equivalent), even though not structurally equivalent to the disclosed structure, which performs the function in the herein illustrated exemplary aspects of the invention. In addition, while a particular feature of the invention may have been disclosed with respect to only one of several implementations, such feature may be combined with one or more other features of the other aspects as may be desired and advantageous for any given or particular application. Furthermore, to the extent that the terms "includes", "including", "has", "having", and variants thereof are used in either the detailed description or the claims, these terms are intended to be inclusive in a manner similar to the term "comprising."

**Claims**

What is claimed is:

1. A scan engine for use in a data collection device, comprising:  
a housing with an opening for receiving light from a scanned dataform;  
an image sensor with an aperture, the image sensor being located within the housing and operative to sense light entering the aperture; and  
a prism located within the housing and adapted to receive light from the opening along a first path and to provide at least a portion of the received light to the aperture along a second path.
2. The scan engine of claim 1, wherein the second path is at an angle with respect to the first path.
3. The scan engine of claim 2, wherein the second path is perpendicular to the first path.
4. The scan engine of claim 3, wherein the prism comprises a first planar face generally perpendicular to the first path and a second planar face generally perpendicular to the second path, and wherein the second face is mounted on the aperture.
5. The scan engine of claim 4, wherein the first face of the prism is located proximate the opening in the housing.
6. The scan engine of claim 5, wherein the opening is located in a first wall of the housing, and wherein the first face of the prism is further adapted to cover the opening.
7. The scan engine of claim 6, wherein the first face of the prism provides a

seal around the opening of the first enclosure wall.

8. The scan engine of claim 1, wherein the prism comprises a first planar face generally perpendicular to the first path and a second planar face generally perpendicular to the second path, and wherein the second face is mounted on the aperture.

9. The scan engine of claim 8, wherein the first face of the prism is located proximate the opening in the housing.

10. The scan engine of claim 9, wherein the opening is located in a first wall of the housing, and wherein the first face of the prism is further adapted to cover the opening.

11. The scan engine of claim 10, wherein the first face of the prism provides a seal around the opening of the first enclosure wall.

12. The scan engine of claim 1, wherein the prism comprises a first face generally perpendicular to the first path and a second planar face generally perpendicular to the second path, and wherein the second face is mounted on the aperture.

13. The scan engine of claim 12, wherein the first face of the prism has a spherical convex shape, whereby the first face serves as an imaging lens with respect to the received light.

14. The scan engine of claim 1, further comprising a lens mounted within the housing along the first path.

15. The scan engine of claim 1, further comprising a lens mounted on the housing along the first path.

16. The scan engine of claim 15, wherein the lens is detachable from the housing.

17. A method for producing a data collection device scan engine, comprising: providing a housing with an opening for receiving light from a scanned dataform; mounting an image sensor within the housing, the image sensor having an aperture and being operative to sense light entering the aperture; and mounting a prism within the housing for receiving light from the opening along a first path and providing at least a portion of the received light to the aperture along a second path.

18. The method of claim 17, wherein the prism comprises a first planar face generally perpendicular to the first path and a second planar face generally perpendicular to the second path, further comprising mounting the second face on the aperture.

19. The method of claim 18, wherein mounting the second face on the aperture includes adhering at least a portion of the second face of the prism to the aperture using a transparent low loss adhesive.

20. The method of claim 18, wherein the opening is located in a first wall of the housing, and wherein mounting the prism within the housing further comprises locating the first face of the prism so as to cover the opening.

21. The method of claim 20, further comprising providing a seal around the opening of the first enclosure wall using the first face of the prism.

22. A data collection device scan engine image sensor assembly, comprising: an image sensor having an aperture and being operative to sense light entering the aperture; and

a prism mounted on the aperture and adapted to receive light along a first path and to provide at least a portion of the received light to the aperture along a second path.

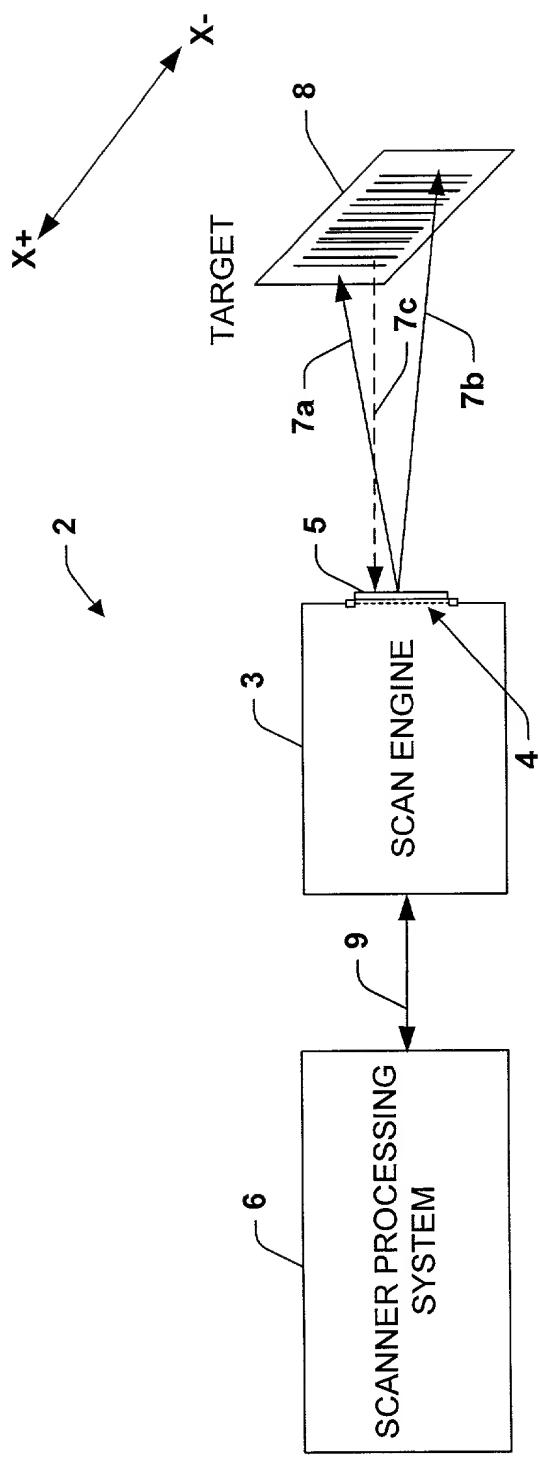
23. The assembly of claim 22, wherein the prism comprises a first planar face generally perpendicular to the first path and a second planar face generally perpendicular to the second path, wherein the first planar face is further adapted to cover an opening in a scan engine housing.

24. The assembly of claim 23, wherein the first face of the prism is further adapted to provide a seal around the opening of the scan engine housing.

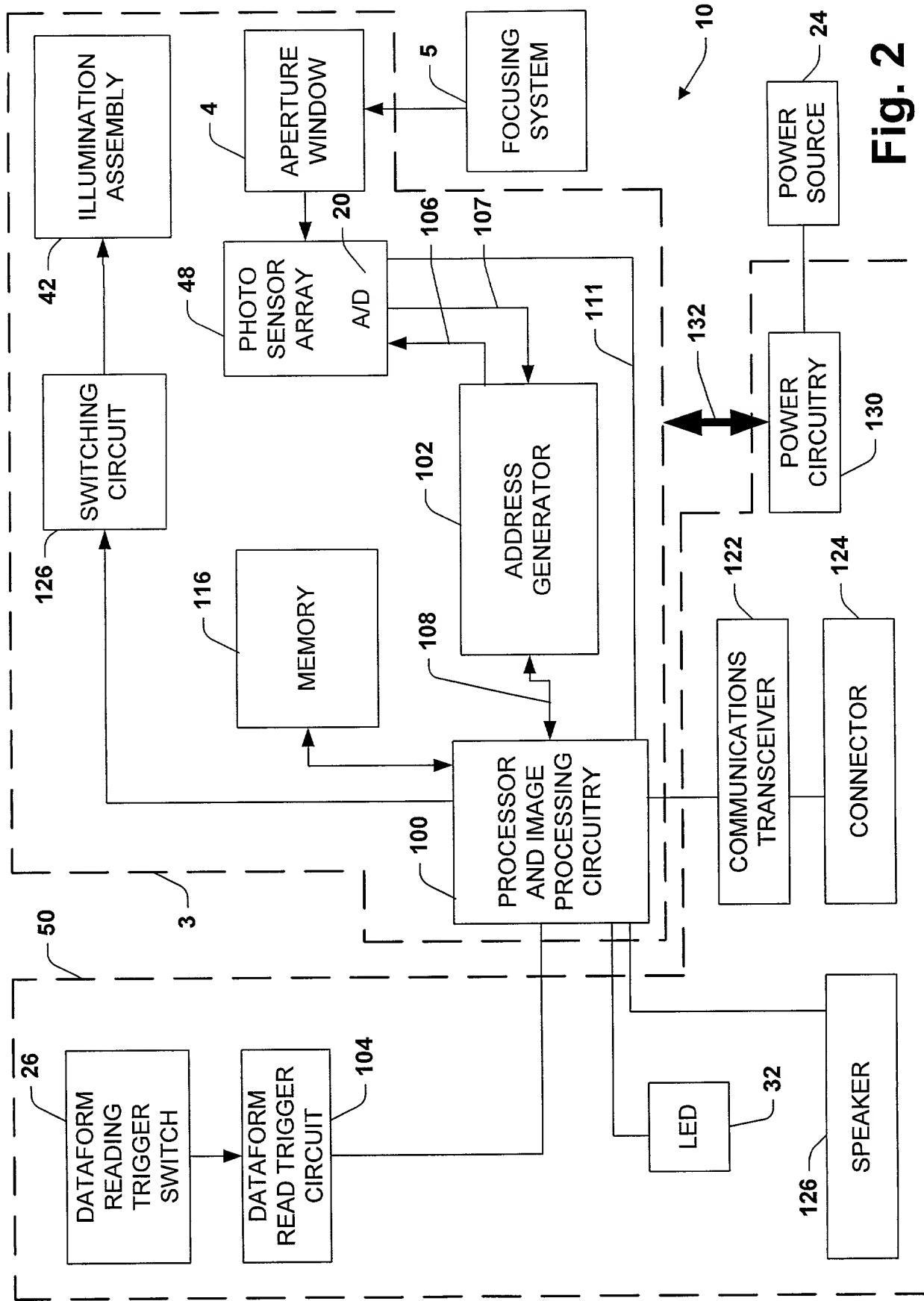
25. The assembly of claim 22, wherein the prism comprises a first planar face adapted to receive light along the first path, and a second planar face adhered to the aperture using a low loss transparent adhesive.

**ABSTRACT**

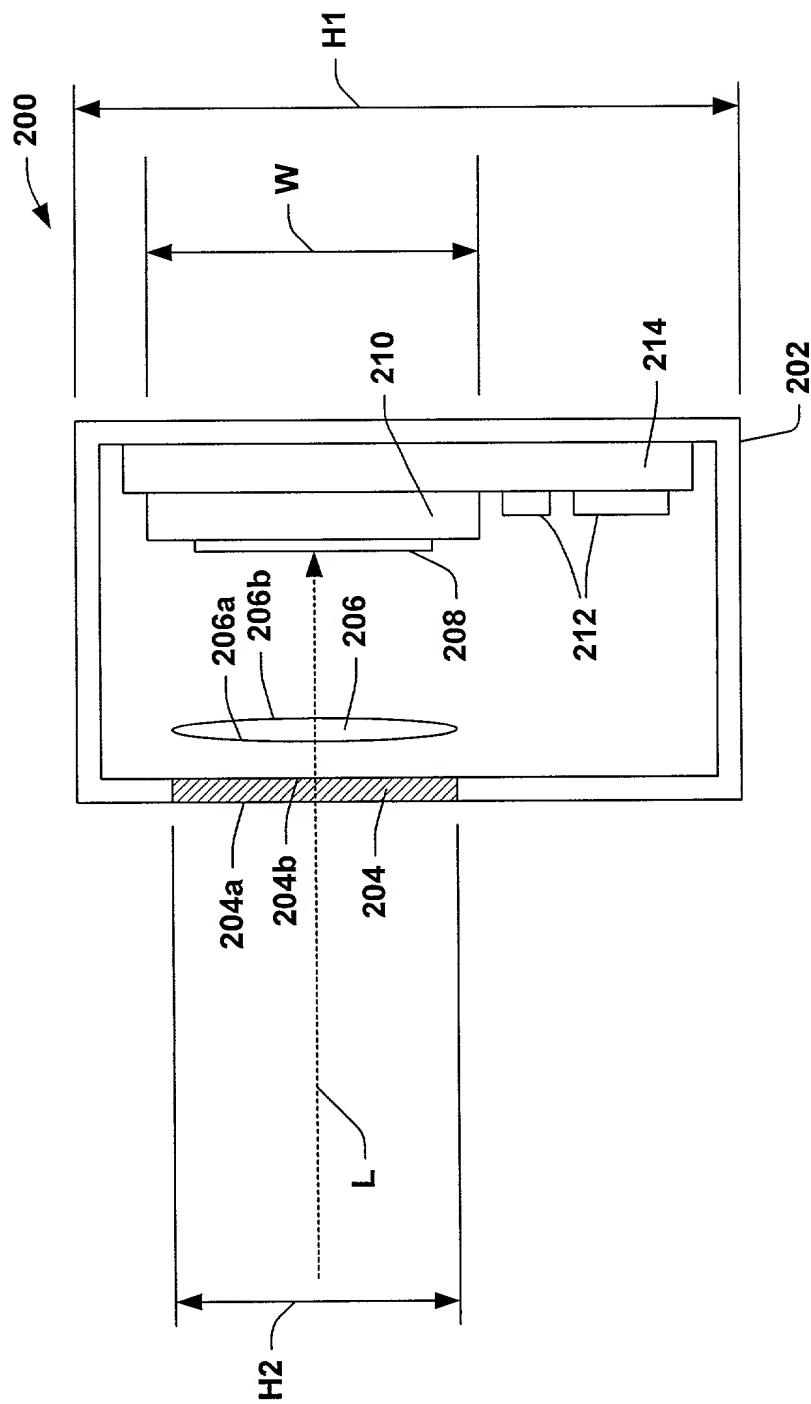
A scan engine is disclosed for use in a data collection device, as well as a method for producing a scan engine and an image sensor assembly. The scan engine includes a housing with an opening for receiving light from a scanned dataform, an image sensor which is located within the housing to sense light entering an aperture in the image sensor, and a prism located within the housing for receiving light from the opening along a first path and to provide at least a portion of the received light to the aperture along a second path.



**Fig. 1**



**Fig. 2**



**Fig. 3  
(Prior Art)**

**Fig. 4**

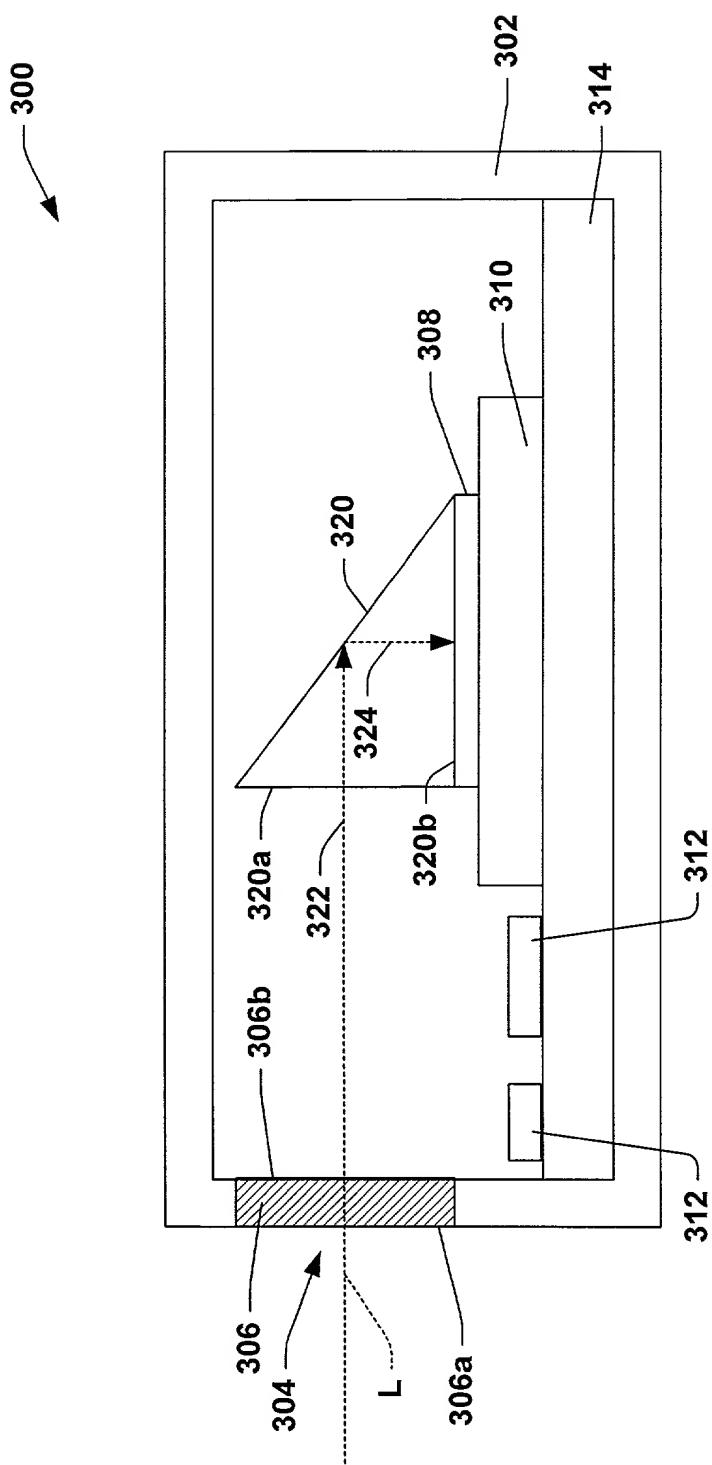
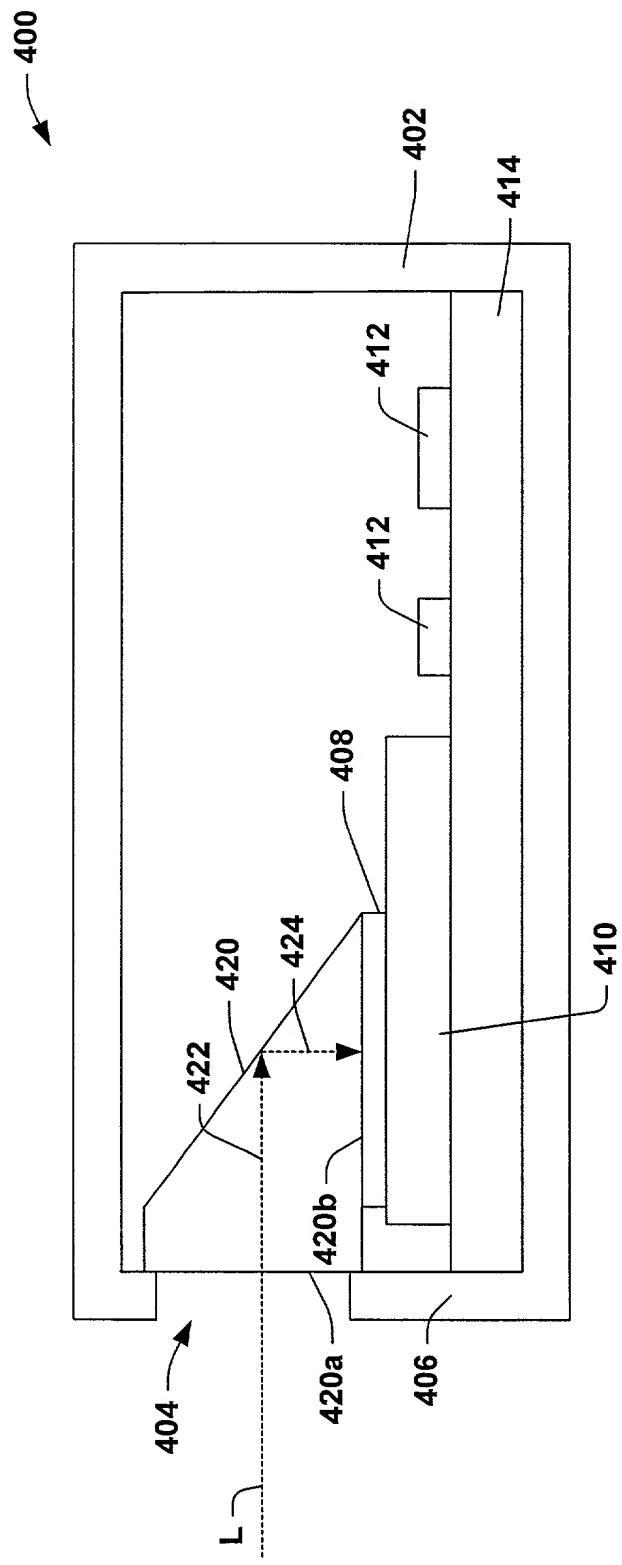
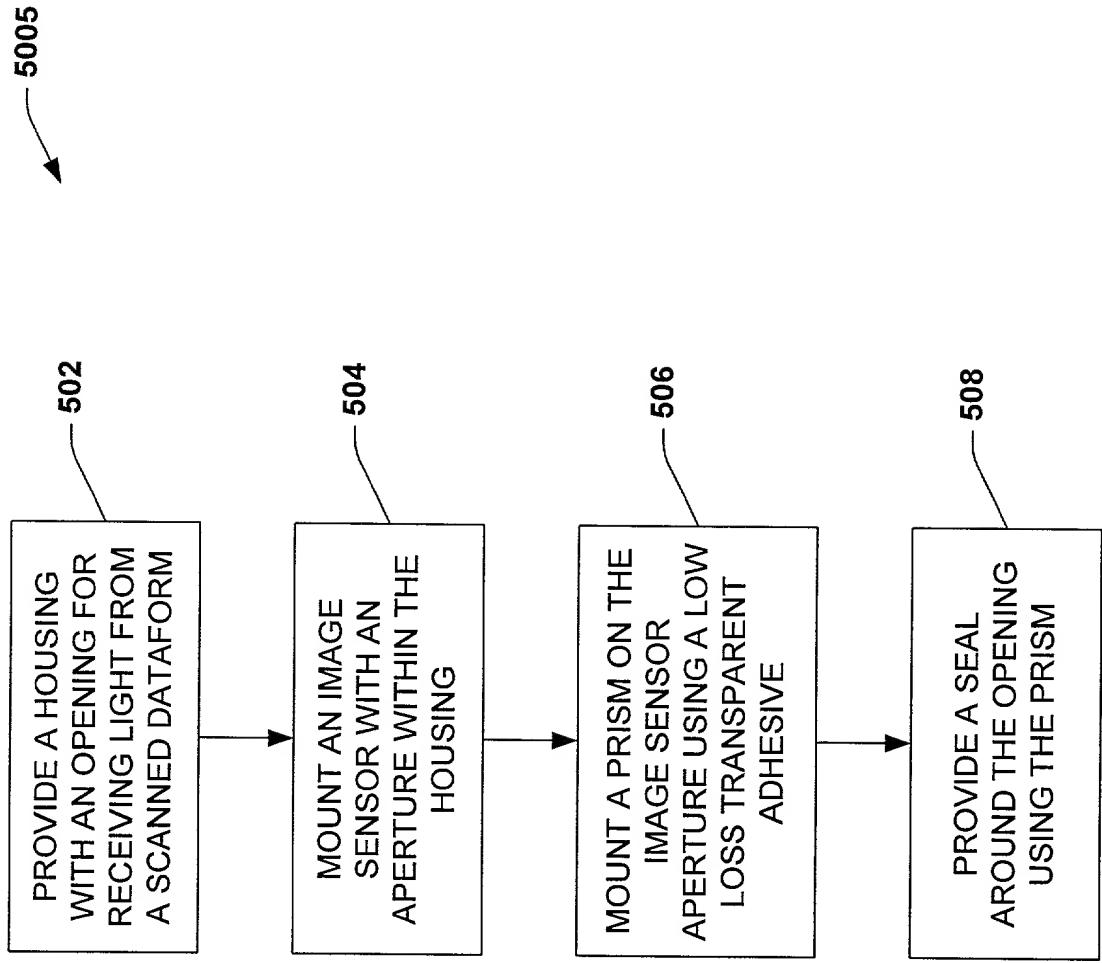


Fig. 5





**Fig. 6**

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**COMBINED DECLARATION AND POWER OF ATTORNEY**  
(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT)

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title: **MICRO READER SCAN ENGINE WITH PRISM**

the specification of which

(a)  is attached hereto.

(b)  was filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_ or \_\_\_\_\_ Express Mail No. \_\_\_\_\_, as Serial No. not yet known, and was amended on \_\_\_\_\_ (if applicable).

(c)  was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended under PCT Article 19 on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations §1.56(a).

**PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(d)  no such applications have been filed.

(e)  such applications have been filed as follows.

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35, USC 119
_____	_____	_____	_____ Yes _____ No
_____	_____	_____	_____ Yes _____ No
_____	_____	_____	_____ Yes _____ No

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

**POWER OF ATTORNEY**

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Himanshu S. Amin, Reg. No. 40,894; Thomas G. Eschweiler, Reg. No. 36, 981;  
Gregory Turocy, Reg. No. 36, 952; Christopher P. Harris, Reg. No. 43,660 and  
Gary J. Pitzer, Reg. No. 39,334.

The undersigned to this declaration and power of attorney hereby authorizes the U.S. attorney(s) named herein to accept and follow instructions from

Name(s) of authorized representative(s) \_\_\_\_\_  
Address \_\_\_\_\_

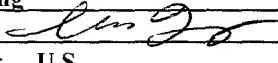
as to any actions to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney(s) and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorney(s) will be so notified by the undersigned.

---

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued therein.

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Inventor's signature: \_\_\_\_\_  
Date: \_\_\_\_\_ Country of Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_

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CHECK FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH  
FORM A PART OF THIS DECLARATION

This declaration ends with this page.